

# OntoGuard Financial Services AI Decision Authorization

**Sanitized proof case:** AI output evaluated before regulated or customer-facing consequence.

<b>What was attempted</b>	A financial-services AI output attempted to move toward a reviewer-facing or customer-facing business consequence.
<b>What OntoGuard does</b>	Evaluates evidence posture, uncertainty, route status, release-control state, and decision admissibility before movement.
<b>Decision surface</b>	ALLOW / BLOCK / ESCALATE with reason codes, trace ID, release-control status, and buyer-readable proof.

## Proof package buyers can inspect

- Execution-boundary trace: attempted movement, control point, and release state.
- No-bind receipt posture: whether any protected downstream effect formed.
- Strict-six package: buyer JSON, full-audit JSON, governance PDF, sellable-lite PDF, decision receipt, and artifact manifest.
- Route maturity boundary: event-level proof today; production L5 route completeness requires customer integration evidence.

## What this proof case is useful for

Risk / compliance	Can the AI output be released, withheld, or routed with a defensible record?
Model risk / governance	What evidence, uncertainty, and authority gaps affected the decision?
Procurement / security	What proof artifacts exist without exposing protected internals?

## Public-safe limitation

**Sanitized proof case. No customer data, no protected implementation details, no production L5 non-bypassability claim.**

Production route completeness requires customer route integration, endpoint enforcement evidence, fail-closed behavior, replay records, and outcome closure.

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